Docket No. 249494US26

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tamotsu MORIMOTO, et al.

SERIAL NO: NEW APPLICATION GAU:

FILED: HEREWITH EXAMINER:

FOR: APPARATUS AND METHOD FOR PLASMA PROCESSING

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s)
is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) 249494US26

Tamotsu MORIMOTO, et al.

APPARATUS AND METHOD FOR PLASMA PROCESSING

# STATEMENT OF RELEVANCY

Reference AO, submitted herewith without translation, is cited in the attached specification and is a counterpart to USP 5,716,534.

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 249494US26		SERIAL NO. NEW APPLICATION					
				APPLICANT							
LIST OF REFERENCES CITED BY APPLICANT				Tamotsu MORIMOTO, et al.							
				FILING DATE	<del> </del>	CROUD					
						GROUP					
				HEREWITH							
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	SUB FILING DATE CLASS IF APPROPRIATE				
	AA	5,716,534	02/10/1998	Tsuchiya et al.							
	AB										
	AC										
	AD										
	ΑE										
	AF										
	AG										
	AH										
	Al										
	AJ										
	AK										
	AL										
	AM										
	AN										
			FO	REIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANS YES		LATION NO			
	AO	3-113786	05/15/1991	Japan (counterpart to US Patent 5,716,534)				X			
	AR	07-201496	08/04/1995	Patent Abstracts of Japan							
	AQ	61-265820	11/25/1986	Patent Abstracts of Japan							
	AR										
	AS										
	AT										
	AU										
	AV					<b>t</b>					
		OTHER R	EFERENCES (	Including Author, Title, Date, Pertinent	Pages, e	tc.)	,				
	AW										
	AX										
	AY										
	AZ			Additional References sheet(s) attached							
Examiner Date Considered											
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											